



## FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329  
(916) 322-5660 • Fax (916) 322-0886

March 2, 2012

Convergent Computing  
Attn: Mr. Rick Romack

**REDACTED**

### Warning Letter Re: FPPC No. 11/1135; Rick Romack

Dear Mr. Romack:

The Fair Political Practices Commission ("FPPC") enforces the provisions of the Political Reform Act ("Act"),<sup>1</sup> found in Government Code Section 81000, et seq. This letter is in response to a non-filer referral from the State Compensation Insurance Fund that alleged you violated the Act's disclosure provisions regarding filing your Statements of Economic Interests (SEI).

The FPPC has completed its investigation of the facts in this case. Specifically, the FPPC found that you violated the Act's disclosure provisions when, as a consultant to the State Compensation Insurance Fund, you failed to properly file your 2010 initial SEI for March 21, 2010. You also failed to file your Annual SEI for the period of 2010. Lastly, you also failed to properly file your Leaving Office SEI, Form 700, within thirty days upon leaving office on April 29, 2011.

Section 87200 of the Act requires certain public officials to disclose reportable interests. Every candidate for the offices specified in § 87200 shall file no later than the final filing date of a declaration of candidacy, a statement disclosing his or her investments, interests in real property, and any income received during the immediately preceding 12 months. Every person who is elected shall then file a statement within 30 days after assuming office. Throughout the duration of holding that office, he or she shall file an annual statement at the time specified by Commission regulations. Lastly, every person who leaves office shall file within 30 days of leaving office.

Your actions violated the Act because you failed to file your Statements of Economic Interests in a timely manner. However, since you do not have a significant history of violating the Act, and you no longer hold your position, we are closing your case with this warning letter. The information in this matter will be retained and may be considered should an enforcement action become necessary based on newly discovered information or future conduct. Failure to

---

<sup>1</sup> The Political Reform Act is contained in Government Code sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

comply with the provisions of the Act in the future will result in monetary penalties of up to \$5,000 for each violation.

Although the Enforcement Division is not seeking a penalty in the current matter, you are still required to immediately file all outstanding statements and will be responsible for any late filing fees assessed by your filing officer. (Gov. Code § 91013.)

A warning letter is an FPPC case resolution without administrative prosecution or fine. However, the warning letter resolution does not provide you with the opportunity for a probable cause hearing or hearing before an Administrative Law Judge or the Fair Political Practices Commission. If you wish to avail yourself of these proceedings by requesting that your case proceed with prosecution rather than a warning, please notify us within ten (10) days from the date of this letter. Upon this notification, the FPPC will rescind this warning letter and proceed with administrative prosecution of this case. If we do not receive such notification, this warning letter will be posted on the FPPC's website ten (10) days from the date of this letter.

Please feel free to contact Kyle Levy at (916) 322-8222 with any questions you may have regarding this letter.

Sincerely,

**REDACTED**

Gary S. Winuk  
Chief, Enforcement Division

cc: State Compensation Insurance Fund